FORM NLRB-501 (3-21)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE							
Case	Date Filed						

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in	which the alleged unfair labor practice occurred or is occurri	ng.				
1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT					
a. Name of Employer		b. Tel. No. (707) 938-9000				
Fairmont Sonoma Mission Inn		c. Cell No.				
		f. Fax. No.				
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	g				
100 Boyes Boulevard Sonoma, CA 95476	(b) (6), (b) (7)(C)	3. (b) (6), (b) (7)(C) @fairmont.com				
		h. Number of workers employed 300				
i. Type of Establishment (factory, mine, wholesaler, etc.) Hotel	j. Identify principal product or service Hospitality					
The above-named employer has engaged in and is engage	ging in unfair labor practices within the meaning of section	8(a), subsections (1) and				
(list subsections)	of the National Labor R	elations Act, and these unfair labor				
practices are practices affecting commerce within the mea	aning of the Act, or these unfair labor practices are practic	es affecting commerce within the				
meaning of the Act and the Postal Reorganization Act.						
2. Basis of the Charge (set forth a clear and concise state	ement of the facts constituting the alleged unfair labor prac	tices)				
See Attachment A.						
See Attachment A.						
3. Full name of party filing charge (if labor organization, go UNITE HERE Local 2	ive full name, including local name and number)					
4a. Address (Street and number, city, state, and ZIP code	P)	4b. Tel. No. 415-864-8770				
209 Golden Gate Ave.		4c. Cell No.				
San Francisco, CA 94102		ic. com no.				
		4d. Fax No.				
		415-864-4158				
		4e. e-mail				
Full name of national or international labor organization	of which it is an affiliate or constituent unit (to be filled in v	when charge is filed by a labor organization)				
UNITE HERE International Union						
6 DECL	ARATION	Tel. No.				
I declare that I have read the abo	ve charge and that the statements	415-597-720				
are true to the best of m	ny knowledge and belief.	Office, if any, Cell No.				
1vy Yan, Attorney 317-937-7820						
(signaturé of representative or person making charge)	(Print/type name and title or office, if any)	Fax No. 415-597-7201				
McCracken, Stemerman & Holsberry, LL Address 475 14th St, Suite 1200, Oakland CA 946		e-mail iyan@msh.law				

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 *et seq.* The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.

ATTACHMENT A TO UNFAIR LABOR PRACTICE CHARGE AGAINST FAIRMONT SONOMA MISSION INN

During the last six months, the above-named Employer has interfered with, restrained, and coerced employees in the exercise of the rights guaranteed in Section 7 of the Act by:

- 1) Threatening employees with adverse consequences for union activity, including loss of benefits;
- 2) Telling employees that trying to organize a union to improve working conditions would be futile;
- Coercively questioning employees about their own and coworkers' union activities or sympathies;
- 4) Surveilling employees' union activities;
- 5) Giving the impression of surveillance of employees' union activities;
- 6) Soliciting and promising to resolve grievances;
- 7) Conferring new raises and benefits on employees during the union organizing campaign;
- 8) Enforcing non-solicitation and other work rules only against employees known to be union supporters;
- 9) Advocating against union representation to employees while employees were working; and
- Soliciting information about employees' union sentiments and desire to engage in activity protected by Section 7 by making attendance voluntary at meetings at which the Employer's agents advocated against union representation and disparaged the Charging Party.

By these and related acts, the Employer has violated the National Labor Relations Act as charged.



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 20 450 Golden Gate Ave. 3rd Floor, Suite 3112 San Francisco, CA 94102

Agency Website: www.nlrb.gov Telephone: (415)356-5130 Fax: (415)356-5156 Download NLRB Mobile App

January 23, 2023

(b) (6), (b) (7)(C)

Fairmont Sonoma Mission Inn 100 Boyes Boulevard Sonoma, CA 95476

Re: Fairmont Sonoma Mission Inn

Case 20-CA-310743

Dear (b) (6), (b) (7)(C):

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney TRACY CLARK whose telephone number is (628)221-8841. <u>Email: Tracy.Clark@nlrb.gov</u> If this Board agent is not available, you may contact Supervisory Attorney JENNIFER BENESIS whose telephone number is (628)221-8846.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board

agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. To ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

<u>Controlled Unclassified Information (CUI)</u>: This National Labor Relations Board (NLRB) proceeding may contain Controlled Unclassified Information (CUI). Subsequent information in this proceeding may also constitute CUI. National Archives and Records Administration (NARA) regulations at 32 CFR Part 2002 apply to all executive branch agencies that designate or handle information that meets the standards for CUI.

* * *

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JILL H. COFFMAN Regional Director

Dis H. Coffman

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

FAIRMONT SONOMA MISSION INN						
Charged Party						
Charged I arry						
and	Case 20-CA-310743					
UNITE HERE LOCAL 2						
Charging Party						
AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER I, the undersigned employee of the National Labor Relations Board, state under oath that on January 23, 2023, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses:						
(b) (6), (b) (7)(C) Fairmont Sonoma Mission Inn 100 Boyes Boulevard Sonoma, CA 95476						
January 23, 2023	Dolleen Keola, Designated Agent of NLRB					
Date	Name /s/Dolleen Keola					
_	Signature					



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov Telephone: (415)356-5130 Fax: (415)356-5156



January 23, 2023

UNITE HERE Local 2 209 Golden Gate Ave. San Francisco, CA 94102

REGION 20

450 Golden Gate Ave.

3rd Floor, Suite 3112

San Francisco, CA 94102

Re: Fairmont Sonoma Mission Inn

Case 20-CA-310743

Dear Sir or Madam:

The charge that you filed in this case on January 20, 2023 has been docketed as case number 20-CA-310743. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

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<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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* * *

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We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JILL H. COFFMAN Regional Director

Dis H. Coffman

Enclosure

1. Important Information About NLRB Investigations for Immigrant Workers (English & Spanish)

cc: Ivy Yan, Attorney at Law McCracken, Stemerman & Holsberry, LLP 475 14th Street, Suite 1200 Oakland, CA 94612



Important Information About NLRB Investigations for Immigrant Workers



The National Labor Relations Act (NLRA) protects most private-sector employees, <u>regardless</u> of their immigration status. The NLRA gives employees the right to:

- Form, join, or assist a union to negotiate concerning wages and other working conditions.
- ➤ Discuss wages and other working conditions with coworkers, a union, a worker center, a government agency, the media, or the public.
- Take collective action with coworkers to try to improve wages and other working conditions.
- ➤ Choose not to take part in any of these actions.

Below is important information for you to know about our confidential investigation process:

- Because immigration status is not relevant as to whether there has been a violation of the NLRA:
 - We will **NOT** ask you about your immigration status.
 - O You **DO NOT** need to share any information with us about your immigration status.
 - You DO NOT need to share information about the status of your current/former coworkers.
- We only enforce the NLRA and have **NO** involvement with the enforcement of immigration laws.
- We will **NOT** share any information about you with the Department of Homeland Security (DHS), including Immigration and Customs Enforcement (ICE), or any other immigration authorities, unless you request that we share your information to assist you with seeking immigration relief, as described in the last bulleted point of this document.
- If you have concerns about appearing at our offices for any reason, please speak with the Board Agent assigned to the case about other methods of participating in the investigation, including taking your affidavit outside of our office or by video.
- Our investigations are confidential, which means that we will NOT disclose your affidavit to an employer, unless you testify at a trial, or we seek a federal court injunction.
- If you are not comfortable communicating with us in English, we will make an interpreter available to provide assistance and information in your preferred language.
- If you are aware that an employer or union has engaged in any of the following conduct, please tell the Board Agent about it because it may violate the law:

- Threatening to call DHS or ICE or making other similar threats because you or other employees have engaged in union activity or other collective action to improve working conditions.
- Asking employees to provide new or updated immigration documents/papers or reverifying employees' work authorization without a valid, non-discriminatory reason, which could violate the NLRA or other laws.
- If, at the end of the investigation, we determine there is merit to the charge (the employer or union has violated the law) and we have to litigate the case before an Administrative Law Judge, we will make every effort to prevent the employer or union from asking you about your immigration status.
- If you have filed a charge or are a witness and you or your representative tells us that there is NLRA protected activity at a worksite and immigration relief is necessary to protect employees who are exercising those rights or participating in the NLRB process, the NLRB will consider seeking immigration relief for employees at that worksite including deferred action, parole, U or T visa status, or other relief as available and appropriate. The NLRB cannot provide immigration advice. If you need immigration counsel, a list of providers of free legal services is available here:

 https://www.justice.gov/eoir/list-pro-bono-legal-service-providers.

For more information on the NLRB, please visit our website, www.nlrb.gov.



Información Importante Acerca de las Investigaciones de la NLRB para los Trabajadores Inmigrantes



La Ley Nacional de Relaciones del Trabajo (NLRA por sus siglas en inglés) protege a la mayoría de los empleados del sector privado, <u>independientemente</u> de su estatus migratorio. La NLRA les da a los empleados el derecho a:

- Formar, afiliarse o ayudar a una unión para negociar sobre los salarios y otras condiciones de trabajo.
- Discutir los salarios y otras condiciones de trabajo con los compañeros de trabajo, una unión, un centro de trabajadores, una agencia gubernamental, los medios de comunicación o el público.
- > Tomar acciones colectivas con los compañeros de trabajo para tratar de mejorar los salarios y otras condiciones de trabajo.
- > Optar por no participar en ninguna de estas acciones.

A continuación, le presentamos información importante que debe conocer acerca de nuestro proceso de investigación confidencial:

- Porque el estatus migratorio no es pertinente si ha habido una violación de la NLRA:
 - o Nosotros **NO** le preguntaremos sobre su estatus migratorio.
 - Usted NO NECESITA compartir ninguna información con nosotros acerca de su estatus migratorio.
 - Usted NO NECESITA compartir ninguna información sobre el estatus [migratorio] de sus actuales/antiguos compañeros de trabajo.
- Sólo hacemos cumplir la NLRA y **NO** estamos involucrados en el cumplimiento de las leyes de inmigración.
- NO compartimos ninguna información sobre usted con el Departamento de Seguridad Nacional (DHS por sus siglas en inglés), incluyendo el Servicio de Inmigración y Control de Aduanas (ICE por sus siglas en inglés) o cualquier otra autoridad de inmigración, a menos que usted solicite que compartamos su información para ayudarle a buscar alivio migratorio, como se describe en el último punto de este documento.
- Si tiene preocupaciones acerca de presentarse en nuestras oficinas por cualquier razón, por favor hable con el agente de la Junta asignado al caso sobre otras maneras de participar en la investigación, incluyendo tomar su declaración jurada fuera de nuestra oficina o por video.
- Nuestras investigaciones son confidenciales, lo que significa que **NO** divulgaremos su declaración jurada a un empleador, a menos que usted testifique en un juicio, o que busquemos un mandato judicial federal.
- Si no está cómodo/a comunicándose con nosotros en inglés, tendremos un intérprete disponible para proporcionarle asistencia e información en su idioma predilecto.

- Si usted sabe que un empleador o unión ha incurrido en alguna de las siguientes conductas, por favor infórmele al agente de la Junta ya que puede violar la ley:
 - Amenazar con llamar al DHS o al ICE o hacer otras amenazas similares porque usted u otros empleados han participado en actividades sindicales u otras acciones colectivas para mejorar las condiciones de trabajo.
 - Pedir a los empleados que proporcionen documentos/papeles de inmigración nuevos o actualizados o volver a verificar la autorización de trabajo de los empleados sin una razón válida y no discriminatoria, que podría violar la NLRA u otras leyes.
- Si, al final de la investigación, determinamos que el cargo tiene mérito (el empleador o la unión han violado la ley) y tenemos que litigar el caso frente a un Juez de Ley Administrativa, haremos todo lo posible para evitar que el empleador o la unión le pregunten sobre su estatus migratorio.
- Si usted ha presentado un cargo o es un testigo y usted o su representante nos dice que se hay una actividad protegida por la NLRA en un lugar de trabajo y que es necesario un alivio migratorio para proteger a los empleados que están ejerciendo esos derechos o participando en el proceso de la Junta Nacional de Relaciones del Trabajo (NLRB por sus siglas en ingles), la NLRB considerará la posibilidad de buscar un alivio migratorio para los empleados en ese lugar de trabajo, incluyendo la acción diferida, la libertad condicional, visas U o T u otro alivio en la medida en que esté disponible y sea apropiado. La NLRB no puede proporcionar asesoramiento de inmigración. Si necesita asesoramiento de inmigración, una lista de proveedores de servicios legales sin costo se encuentra disponible aquí (en inglés): https://www.justice.gov/eoir/list-pro-bono-legal-service-providers.

Para más información acerca de la NLRB, por favor visite nuestra página web, www.nlrb.gov.

NATIONAL LABOR RELATIONS BOARD

NOTICE OF APPEARANCE

UNITE HERE, LOCAL 2	
and	CASE 20-CA-310743
FAIRMONT SONOMA MISSION INN	ii.
EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD Washington, DC 20570	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD Washington, DC 20570
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTAT Fairmont Sonoma Mission Inn	IVE OF
IN THE ABOVE-CAPTIONED MATTER.	-
CHECK THE APPROPRIATE BOX(ES) BELOW: REPRESENTATIVE IS AN ATTORNEY IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMEN CASEHANDLING MANUAL. (REPRESENTATIVE INFOR	ADDITION TO THOSE DESCRIBED BELOW, THIS WILL RECEIVE ONLY COPIES OF CERTAIN ITS AS DESCRIBED IN SEC. 11842.3 OF THE
Hayden R. Pace	
MAILING ADDRESS: 1 Harbor Drive, Suite 211, Sausalito, CA 94	4965
E-MAIL ADDRESS:hpace@stokeswagner.com	
OFFICE TELEPHONE NUMBER: 415-943-9471	
CELL PHONE NUMBER:	_{FAX:} 619-232-4840
SIGNATURE: (Please sign in ink.) DATE: FEBRUARY 1, 2023	

 $^{^{\}rm 1}$ IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

FORM NLRB-501 (3-21)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

AMENDED CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE

20-CA-310743

Date Filed 04/17/2023

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in	which the alleged unfair labor practice occurred or is occ	curring.			
1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT				
a. Name of Employer Sonoma Mission Inn Management Company, LLC	d/b/a Fairmont Sonoma Mission Inn	b. Tel. No. (707) 938-9000			
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	c. Cell No.				
		f. Fax. No.			
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	_			
100 Boyes Boulevard Sonoma, CA 95476	(b) (6), (b) (7)(C)	3. (b) (6), (b) (7)(C) @fairmont.com			
		h. Number of workers employed 300			
i. Type of Establishment (factory, mine, wholesaler, etc.) Hotel	j. Identify principal product or service Hospitality				
The above-named employer has engaged in and is engaged	ging in unfair labor practices within the meaning of sec	ction 8(a), subsections (1) and			
(list subsections) (3)		or Relations Act, and these unfair labor			
practices are practices affecting commerce within the me	aning of the Act, or these unfair labor practices are pro	actices affecting commerce within the			
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See Attachment A.					
3. Full name of party filing charge (if labor organization, g UNITE HERE Local 2	ive full name, including local name and number)				
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209 Golden Gate Ave. San Francisco, CA 94102		4c. Cell No.			
		4d. Fax No. 415-864-4158			
		4e. e-mail			
Full name of national or international labor organization	of which it is an affiliate or constituent unit (to be filled	d in when charge is filed by a labor organization)			
UNITE HERE International Union	To think it is an animate of constituonit and personal	a in when enalge to fined by a labor organization,			
6. DECL	ARATION	Tel. No.			
I declare that I have read the abo	ve charge and that the statements	415-597-7200			
are true to the best of n	ny knowledge and belief. Ivy Yan, Attorney	Office, if any, Cell No. 415-547-7254			
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fax No. 415-597-7201			
McCracken, Stemerman & Holsberry, LI		e-mail			
Address 475 14th St, Suite 1200, Oakland CA 946	iyan@msh.law				

### WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

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### AMENDED 20-CA-310743 04/17/2023

# ATTACHMENT A TO UNFAIR LABOR PRACTICE CHARGE AGAINST FAIRMONT SONOMA MISSION INN

During the last six months, the above-named Employer has interfered with, restrained, and coerced employees in the exercise of the rights guaranteed in Section 7 of the Act by:

- 1) Threatening employees with adverse consequences for union activity, including loss of benefits;
- 2) Telling employees that trying to organize a union to improve working conditions would be futile;
- Coercively questioning employees about their own and coworkers' union activities or sympathies;
- 4) Surveilling employees' union activities;
- 5) Giving the impression of surveillance of employees' union activities;
- 6) Soliciting and promising to resolve grievances;
- 7) Conferring new raises and benefits on employees during the union organizing campaign;
- 8) Unlawfully enforcing non-solicitation rules to restrict protected union activities;
- 9) Advocating against union representation to employees while employees were working;
- 10) Interfering, restraining, and coercing employees' rights to refrain from listening to speeches concerning their Section 7 activity by convening employees on paid time to listen to such speeches;
- Soliciting information about employees' union sentiments and desire to engage in activity protected by Section 7 by making attendance voluntary at meetings at which the Employer's agents advocated against union representation and disparaged the Charging Party;
- 12) Disciplining an employee for engaging in protected union activities and in order to discourage union activity; and
- Soliciting information about employees' union sentiments and activities by requesting that employees report if they felt harassed by employees' protected union activities.

By these and related acts, the Employer has violated the National Labor Relations Act as charged.



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov Telephone: (415)356-5130 Fax: (415)356-5156



April 18, 2023

(b) (6), (b) (7)(C)

**REGION 20** 

450 Golden Gate Ave.

3rd Floor, Suite 3112

San Francisco, CA 94102

Fairmont Sonoma Mission Inn 100 Boyes Blvd Sonoma CA 95476

Re: Fairmont Sonoma Mission Inn

Case 20-CA-310743

Dear (b) (6), (b) (7)(C):

Enclosed is a copy of the first amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney TRACY CLARK whose telephone number is (628)221-8841. If the agent is not available, you may contact Supervisory Attorney JENNIFER BENESIS whose telephone number is (628)221-8846.

<u>Presentation of Your Evidence</u>: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures:</u> Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the Agency's web site (www.nlrb.gov). You must e-file all documents electronically or provide a written statement explaining why electronic submission is not possible or feasible. Failure to comply with Section 102.5 will result in rejection of your submission. The Region will make its

determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

JILL H. COFFMAN Regional Director

Die H. Coffman

Enclosure: Copy of first amended charge

cc: Hayden R. Pace, Attorney Stokes Wagner LLC One Harbor Drive Suite 1-211 Sausalito CA 94965

# UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

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**Charged Party** 

and

**UNITE HERE LOCAL 2** 

**Charging Party** 

Case 20-CA-310743

### AFFIDAVIT OF SERVICE OF FIRST AMENDED CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on April 18, 2023, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

(b) (6), (b) (7)(C)
Fairmont Sonoma Mission Inn
100 Boyes Blvd
Sonoma CA 95476

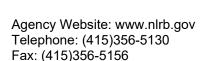
Hayden R. Pace, Attorney Stokes Wagner LLC One Harbor Drive Suite 1-211 Sausalito CA 94965

April 18, 2023	Caroline Barker, Designated Agent of NLRB
Date	Name
	/s/ Caroline Barker
	Signature



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 20 450 Golden Gate Ave. 3rd Floor, Suite 3112 San Francisco, CA 94102





April 18, 2023

UNITE HERE Local 2 209 Golden Gate Ave. San Francisco CA 94102

Re: Fairmont Sonoma Mission Inn

Case 20-CA-310743

Dear Sir or Madam:

We have docketed the first amended charge that you filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney TRACY CLARK whose telephone number is (628)221-8841. If the agent is not available, you may contact Supervisory Attorney JENNIFER BENESIS whose telephone number is (628)221-8846.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the first amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

JILL H. COFFMAN Regional Director

Die H. Coffman

cc: Ivy Yan, Associate
McCracken Stemerman & Holsberry LLP

475 14th Street, Suite 1200 Oakland CA 94612-1929

FORM NLRB-501 (3-21)

# UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE					
Case	Date Filed				
20-CA-313884	03/10/2023				

INSTRUCTIONS:

File an original with NLRB Regional Director for the region in which the alleged unfair labor practice occurred or is occurring.

1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT					
a. Name of Employer		b. Tel. No.				
a. Hamo of Employer		(707) 938-9000				
Fairmont Sonoma Mission Inn		c. Cell No.				
		0. 00. 110.				
		f. Fax. No.				
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	a				
100 Boyes Boulevard	(b) (6), (b) (7)(C)	3. (b) (6), (b) (7)(C) @fairmont.com				
Sonoma, CA 95476	(=) (=); (=) (=)					
		h. Number of workers employed				
		300				
i. Type of Establishment (factory, mine, wholesaler, etc.) Hotel	j. Identify principal product or service Hospitality					
The above-named employer has engaged in and is engaged		action 9(a) subsections (1) and				
(list subsections)		bor Relations Act, and these unfair labor				
practices are practices affecting commerce within the me		•				
meaning of the Act and the Postal Reorganization Act.	aning of the Act, of these unital labor practices are pr	ractices affecting commerce within the				
Basis of the Charge (set forth a clear and concise state)	amont of the feets constituting the alleged unfair labor	r practice a)				
2. Dasis of the Charge (set forth a clear and concise state	ement of the facts constituting the alleged umair labor	practices)				
See Attachment A.						
3. Full name of party filing charge (if labor organization, g	ive full name_including local name and number)					
UNITE HERE Local 2	we tall name, melating local name and nambor,					
4a. Address (Street and number, city, state, and ZIP code		4b. Tel. No.				
4a. Address (Street and number, city, state, and zir code	<i>5)</i>	415-864-8770				
209 Golden Gate Ave.						
San Francisco, CA 94102		4c. Cell No.				
		4d. Fax No. 415-864-4158				
		415-604-4156				
		4e. e-mail				
5. Full name of national or international labor organization	n of which it is an affiliate or constituent unit (to be fille	ed in when charge is filed by a labor organization)				
UNITE HERE International Union						
	ARATION	Tel. No. 415-597-7200				
	ve charge and that the statements					
are true to the best of my knowledge and belief.  Ivy Yan, Attorney  Office, if any, Cell No. 415-547-7254						
10/100		—				
(signaturé of representative or person making charge)	(Print/type name and title or office, if any)	Fax No.				
M.C. 1. C	D.	415-597-7201				
McCracken, Stemerman & Holsberry, LI Address 475 14th St, Suite 1200, Oakland CA 946		e-mail				
Address 4/3 14th St, Suite 1200, Oakiand CA 940	_   iyan@msh.law					

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001)
PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 *et seq.* The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information may cause the NLRB to decline to invoke its processes.

# ATTACHMENT A TO UNFAIR LABOR PRACTICE CHARGE AGAINST FAIRMONT SONOMA MISSION INN

During the last six months, the above-named Employer has interfered with, restrained, and coerced employees in the exercise of the rights guaranteed in Section 7 of the Act by:

- 1) Tracking employees' support for or against the Union;
- 2) Installing new security cameras to observe union activities;
- 3) Observing and confronting union supporters and staff on public property;
- 4) Photographing employees and union representatives speaking to each other on public property;
- 5) Scheduling and encouraging employees to attend January and February 2023 meetings at which the Employer's agents advocated against union representation, disparaged the Charging Party, distributed anti-union materials, took notes, distributed sign-in sheets, and evaluated employees' support for or against unionization.
- 6) Paying employees additional hours to attend meetings at which the Employer's agents advocated against union representation, disparaged the Charging Party, distributed anti-union materials, took notes, distributed sign-in sheets, and evaluated employees' support for or against unionization; and
- 7) On or around March 1, 2023, approaching employees to solicit grievances about the Employer's scheduling practices and promising to resolve scheduling issues.

By these and related acts, the Employer has violated the National Labor Relations Act as charged.



# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 20 450 Golden Gate Ave. 3rd Floor, Suite 3112 San Francisco, CA 94102

Agency Website: www.nlrb.gov Telephone: (415)356-5130 Fax: (415)356-5156 Download NLRB Mobile App

March 13, 2023

(b) (6), (b) (7)(C)

Fairmont Sonoma Mission Inn 100 Boyes Blvd Sonoma CA 95476

Re: Fairmont Sonoma Mission Inn

Case 20-CA-313884

Dear (b) (6), (b) (7)(C):

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney TRACY CLARK whose telephone number is (628)221-8841. If this Board agent is not available, you may contact Supervisory Attorney JENNIFER BENESIS whose telephone number is (628)221-8846.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not

enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

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<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. To ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

<u>Controlled Unclassified Information (CUI)</u>: This National Labor Relations Board (NLRB) proceeding may contain Controlled Unclassified Information (CUI). Subsequent information in this proceeding may also constitute CUI. National Archives and Records Administration (NARA) regulations at 32 CFR Part 2002 apply to all executive branch agencies that designate or handle information that meets the standards for CUI.

* * *

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JILL H. COFFMAN Regional Director

Die H. Coffman

### Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

# UNITED STATES OF AMERICA BEFORE THE NATIONAL LABOR RELATIONS BOARD

FAIRMONT SONOMA MISSION INN	
Charged Party	
and	Case 20-CA-313884
UNITE HERE! LOCAL 2	
Charging Party	

### AFFIDAVIT OF SERVICE OF CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, state under oath that on March 13, 2023, I served the above-entitled document(s) by post-paid regular mail upon the following persons, addressed to them at the following addresses:

(b) (6), (b) (7)(C)
Fairmont Sonoma Mission Inn
100 Boyes Blvd
Sonoma CA 95476

March 13, 2023	Caroline Barker, Designated Agent of NLRB
Date	Name
	/s/ Caroline Barker
	Signature



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 20 450 Golden Gate Ave. 3rd Floor, Suite 3112 San Francisco, CA 94102 Agency Website: www.nlrb.gov Telephone: (415)356-5130 Fax: (415)356-5156



March 13, 2023

UNITE HERE! Local 2 209 Golden Gate Avenue San Francisco CA 94102

Re: Fairmont Sonoma Mission Inn

Case 20-CA-313884

Dear Sir or Madam:

The charge that you filed in this case on March 10, 2023 has been docketed as case number 20-CA-313884. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Attorney TRACY CLARK whose telephone number is (628)221-8841. If this Board agent is not available, you may contact Supervisory Attorney JENNIFER BENESIS whose telephone number is (628)221-8846.

**Right to Representation:** You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to

take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence</u>: All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. To ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

<u>Controlled Unclassified Information (CUI)</u>: This National Labor Relations Board (NLRB) proceeding may contain Controlled Unclassified Information (CUI). Subsequent information in this proceeding may also constitute CUI. National Archives and Records Administration (NARA) regulations at 32 CFR Part 2002 apply to all executive branch agencies that designate or handle information that meets the standards for CUI.

* * *

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, <a href="www.nlrb.gov">www.nlrb.gov</a> or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JILL H. COFFMAN Regional Director

### Enclosure

1. Important Information About NLRB Investigations for Immigrant Workers (English & Spanish)

cc: Ivy Yan, Attorney
McCracken Stemerman & Holsberry LLP
475 14th Street
Suite 1200
Oakland CA 94612



# **Important Information About NLRB Investigations for Immigrant Workers**



## The National Labor Relations Act (NLRA) protects most private-sector employees, <u>regardless</u> of their immigration status. The NLRA gives employees the right to:

- Form, join, or assist a union to negotiate concerning wages and other working conditions.
- ➤ Discuss wages and other working conditions with coworkers, a union, a worker center, a government agency, the media, or the public.
- > Take collective action with coworkers to try to improve wages and other working conditions.
- ➤ Choose not to take part in any of these actions.

## Below is important information for you to know about our confidential investigation process:

- Because immigration status is not relevant as to whether there has been a violation of the NLRA:
  - We will **NOT** ask you about your immigration status.
  - O You **DO NOT** need to share any information with us about your immigration status.
  - You DO NOT need to share information about the status of your current/former coworkers.
- We only enforce the NLRA and have **NO** involvement with the enforcement of immigration laws.
- We will **NOT** share any information about you with the Department of Homeland Security (DHS), including Immigration and Customs Enforcement (ICE), or any other immigration authorities, unless you request that we share your information to assist you with seeking immigration relief, as described in the last bulleted point of this document.
- If you have concerns about appearing at our offices for any reason, please speak with the Board Agent assigned to the case about other methods of participating in the investigation, including taking your affidavit outside of our office or by video.
- Our investigations are confidential, which means that we will **NOT** disclose your affidavit to an employer, unless you testify at a trial, or we seek a federal court injunction.
- If you are not comfortable communicating with us in English, we will make an interpreter available to provide assistance and information in your preferred language.
- If you are aware that an employer or union has engaged in any of the following conduct, please tell the Board Agent about it because it may violate the law:

- Threatening to call DHS or ICE or making other similar threats because you or other employees have engaged in union activity or other collective action to improve working conditions.
- Asking employees to provide new or updated immigration documents/papers or reverifying employees' work authorization without a valid, non-discriminatory reason, which could violate the NLRA or other laws.
- If, at the end of the investigation, we determine there is merit to the charge (the employer or union has violated the law) and we have to litigate the case before an Administrative Law Judge, we will make every effort to prevent the employer or union from asking you about your immigration status.
- If you have filed a charge or are a witness and you or your representative tells us that there is NLRA protected activity at a worksite and immigration relief is necessary to protect employees who are exercising those rights or participating in the NLRB process, the NLRB will consider seeking immigration relief for employees at that worksite including deferred action, parole, U or T visa status, or other relief as available and appropriate. The NLRB cannot provide immigration advice. If you need immigration counsel, a list of providers of free legal services is available here: <a href="https://www.justice.gov/eoir/list-pro-bono-legal-service-providers">https://www.justice.gov/eoir/list-pro-bono-legal-service-providers</a>.

For more information on the NLRB, please visit our website, www.nlrb.gov.



### Información Importante Acerca de las Investigaciones de la NLRB para los Trabajadores Inmigrantes



La Ley Nacional de Relaciones del Trabajo (NLRA por sus siglas en inglés) protege a la mayoría de los empleados del sector privado, <u>independientemente</u> de su estatus migratorio. La NLRA les da a los empleados el derecho a:

- Formar, afiliarse o ayudar a una unión para negociar sobre los salarios y otras condiciones de trabajo.
- Discutir los salarios y otras condiciones de trabajo con los compañeros de trabajo, una unión, un centro de trabajadores, una agencia gubernamental, los medios de comunicación o el público.
- > Tomar acciones colectivas con los compañeros de trabajo para tratar de mejorar los salarios y otras condiciones de trabajo.
- > Optar por no participar en ninguna de estas acciones.

## A continuación, le presentamos información importante que debe conocer acerca de nuestro proceso de investigación confidencial:

- Porque el estatus migratorio no es pertinente si ha habido una violación de la NLRA:
  - o Nosotros **NO** le preguntaremos sobre su estatus migratorio.
  - Usted NO NECESITA compartir ninguna información con nosotros acerca de su estatus migratorio.
  - Usted NO NECESITA compartir ninguna información sobre el estatus [migratorio] de sus actuales/antiguos compañeros de trabajo.
- Sólo hacemos cumplir la NLRA y **NO** estamos involucrados en el cumplimiento de las leyes de inmigración.
- NO compartimos ninguna información sobre usted con el Departamento de Seguridad Nacional (DHS por sus siglas en inglés), incluyendo el Servicio de Inmigración y Control de Aduanas (ICE por sus siglas en inglés) o cualquier otra autoridad de inmigración, a menos que usted solicite que compartamos su información para ayudarle a buscar alivio migratorio, como se describe en el último punto de este documento.
- Si tiene preocupaciones acerca de presentarse en nuestras oficinas por cualquier razón, por favor hable con el agente de la Junta asignado al caso sobre otras maneras de participar en la investigación, incluyendo tomar su declaración jurada fuera de nuestra oficina o por video.
- Nuestras investigaciones son confidenciales, lo que significa que **NO** divulgaremos su declaración jurada a un empleador, a menos que usted testifique en un juicio, o que busquemos un mandato judicial federal.
- Si no está cómodo/a comunicándose con nosotros en inglés, tendremos un intérprete disponible para proporcionarle asistencia e información en su idioma predilecto.

- Si usted sabe que un empleador o unión ha incurrido en alguna de las siguientes conductas, por favor infórmele al agente de la Junta ya que puede violar la ley:
  - Amenazar con llamar al DHS o al ICE o hacer otras amenazas similares porque usted u otros empleados han participado en actividades sindicales u otras acciones colectivas para mejorar las condiciones de trabajo.
  - Pedir a los empleados que proporcionen documentos/papeles de inmigración nuevos o actualizados o volver a verificar la autorización de trabajo de los empleados sin una razón válida y no discriminatoria, que podría violar la NLRA u otras leyes.
- Si, al final de la investigación, determinamos que el cargo tiene mérito (el empleador o la unión han violado la ley) y tenemos que litigar el caso frente a un Juez de Ley Administrativa, haremos todo lo posible para evitar que el empleador o la unión le pregunten sobre su estatus migratorio.
- Si usted ha presentado un cargo o es un testigo y usted o su representante nos dice que se hay una actividad protegida por la NLRA en un lugar de trabajo y que es necesario un alivio migratorio para proteger a los empleados que están ejerciendo esos derechos o participando en el proceso de la Junta Nacional de Relaciones del Trabajo (NLRB por sus siglas en ingles), la NLRB considerará la posibilidad de buscar un alivio migratorio para los empleados en ese lugar de trabajo, incluyendo la acción diferida, la libertad condicional, visas U o T u otro alivio en la medida en que esté disponible y sea apropiado. La NLRB no puede proporcionar asesoramiento de inmigración. Si necesita asesoramiento de inmigración, una lista de proveedores de servicios legales sin costo se encuentra disponible aquí (en inglés): <a href="https://www.justice.gov/eoir/list-pro-bono-legal-service-providers">https://www.justice.gov/eoir/list-pro-bono-legal-service-providers</a>.

Para más información acerca de la NLRB, por favor visite nuestra página web, <a href="www.nlrb.gov">www.nlrb.gov</a>.

### NATIONAL LABOR RELATIONS BOARD

### NOTICE OF APPEARANCE

UNITE HERE, LOCAL 2								
and	CASE 20-CA-313884							
FAIRMONT SONOMA MISSION INN	CASE 20 OA 010001							
TAIL INIOITE CONTOURS AND COLORS								
REGIONAL DIRECTOR EXECUTIVE SECRETARY NATIONAL LABOR RELATIONS BOARD	GENERAL COUNSEL NATIONAL LABOR RELATIONS BOARD							
Washington, DC 20570	Washington, DC 20570							
THE INTERCIONER HEREBY ENTERS ABBEADANCE AS DEDBESENTATI	N/F OF							
THE UNDERSIGNED HEREBY ENTERS APPEARANCE AS REPRESENTATION Fairmont Sonoma Mission Inn	IVE OF							
Fairmont Sonoma Mission IIII	<del></del>							
IN THE ABOVE-CAPTIONED MATTER.								
CHECK THE APPROPRIATE BOX(ES) BELOW:								
REPRESENTATIVE IS AN ATTORNEY								
— REFRESENTATIVE IS AN ALTONNEL								
IF REPRESENTATIVE IS AN ATTORNEY, IN ORDER TO ENSURE THAT THE PARTY MAY RECEIVE COPIES OF CERTAIN DOCUMENTS OR CORRESPONDENCE FROM THE AGENCY IN ADDITION TO THOSE DESCRIBED BELOW, THIS BOX MUST BE CHECKED. IF THIS BOX IS NOT CHECKED, THE PARTY WILL RECEIVE ONLY COPIES OF CERTAIN DOCUMENTS SUCH AS CHARGES, PETITIONS AND FORMAL DOCUMENTS AS DESCRIBED IN SEC. 11842.3 OF THE CASEHANDLING MANUAL.								
(REPRESENTATIVE INFORM	MATION)							
Hayden R. Pace								
NAME: 1 Harbor Drive, Suite 211, Sausalito, CA 94	965							
MAILING ADDRESS:	_3							
E-MAIL ADDRESS: hpace@stokeswagner.com								
OFFICE TELEPHONE NUMBER: 415-943-9471								
CELL PHONE NUMBER:	_{FAX:} 619-232-4640							
SIGNATURE:								
(Please sign in ink.) DATE: March 20, 2023								
DATE: March 20, 2023								

 $^{^{1}}$  IF CASE IS PENDING IN WASHINGTON AND NOTICE OF APPEARANCE IS SENT TO THE GENERAL COUNSEL OR THE EXECUTIVE SECRETARY, A COPY SHOULD BE SENT TO THE REGIONAL DIRECTOR OF THE REGION IN WHICH THE CASE WAS FILED SO THAT THOSE RECORDS WILL REFLECT THE APPEARANCE.

FORM NLRB-501 (3-21)

### UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD AMENDED CHARGE AGAINST EMPLOYER

DO NOT WRITE IN THIS SPACE		
	Date Filed	
20-CA-313884	04/24/2023	

#### INSTRUCTIONS:

File an original with NLRB Regional Director for the region in	which the alleged unfair labor practice occurred or is occ	curring.
1. EMPL	OYER AGAINST WHOM CHARGE IS BROUGHT	
a. Name of Employer		b. Tel. No. (707) 938-9000
Sonoma Mission Inn Management Company, LLC d/b/a Fairmont Sonoma Mission Inn		c. Cell No.
		f. Fax. No.
d. Address (Street, city, state, and ZIP code)	e. Employer Representative	a
100 Boyes Boulevard Sonoma, CA 95476	(b) (6), (b) (7)(C)	3. (b) (6), (b) (7)(C) @fairmont.com
		h. Number of workers employed 300
i. Type of Establishment (factory, mine, wholesaler, etc.) Hotel	j. Identify principal product or service Hospitality	
The above-named employer has engaged in and is engaged	ging in unfair labor practices within the meaning of sec	ction 8(a), subsections (1) and
(list subsections) (3)	of the National Lab	or Relations Act, and these unfair labor
practices are practices affecting commerce within the me	aning of the Act, or these unfair labor practices are pro	actices affecting commerce within the
meaning of the Act and the Postal Reorganization Act.		
2. Basis of the Charge (set forth a clear and concise state	ement of the facts constituting the alleged unfair labor	practices)
See Attachment A.		
3. Full name of party filing charge (if labor organization, g UNITE HERE Local 2	ive full name, including local name and number)	
4a. Address (Street and number, city, state, and ZIP code	9)	4b. Tel. No.
	7	415-864-8770
209 Golden Gate Ave.		4c. Cell No.
San Francisco, CA 94102		15: 55: 116:
		4d. Fax No.
		415-864-4158
		4e. e-mail
5. Full name of national or international labor organization	n of which it is an affiliate or constituent unit (to be filled	d in when charge is filed by a labor organization)
UNITE HERE International Union	·	, , , ,
	ARATION	Tel. No. 415-597-7200
I declare that I have read the above charge and that the statements are true to the best of my knowledge and belief.		
Dongan	Ivy Yan, Attorney	Office, if any, Cell No. 415-547-7254
(signature of representative or person making charge)	(Print/type name and title or office, if any)	Fax No. 415-597-7201
McCracken, Stemerman & Holsberry, LI		e-mail
Address 475 14th St, Suite 1200, Oakland CA 946	Date <u>04/24/2023</u>	iyan@msh.law

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary, however, failure to supply the information may cause the NLRB to decline to invoke its processes.

### AMENDED 20-CA-313884 04/24/2023

# ATTACHMENT A TO UNFAIR LABOR PRACTICE CHARGE AGAINST FAIRMONT SONOMA MISSION INN

During the last six months, the above-named Employer has interfered with, restrained, and coerced employees in the exercise of the rights guaranteed in Section 7 and 8 of the Act by:

- 1) Tracking employees' support for or against the Union;
- 2) Observing and confronting union supporters and staff on public property;
- Photographing employees and union representatives speaking to each other on public property;
- 4) Scheduling and encouraging employees to attend January and February 2023 meetings at which the Employer's agents advocated against union representation, disparaged the Charging Party, distributed anti-union materials, took notes, distributed sign-in sheets, and evaluated employees' support for or against unionization;
- 5) Paying employees additional hours to attend meetings at which the Employer's agents advocated against union representation, disparaged the Charging Party, distributed antiunion materials, took notes, distributed sign-in sheets, and evaluated employees' support for or against unionization;
- 6) Soliciting and promising to resolve grievances; and
- 7) Discriminating against employees by assigning them more onerous work and refusing to invite them to labor relations meetings where the Employer offered employment benefits because of and in order to discourage union activities.

By these and related acts, the Employer has violated the National Labor Relations Act as charged.



# UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov Telephone: (415)356-5130 Fax: (415)356-5156



April 24, 2023

(b) (6), (b) (7)(C)

**REGION 20** 

450 Golden Gate Ave.

3rd Floor, Suite 3112

San Francisco, CA 94102

Sonoma Mission Inn Management Company 100 Boyes Blvd Sonoma CA 95476

Re: Sonoma Mission Inn Management

Company, LLC d/b/a Fairmont Sonoma

Mission Inn

Case 20-CA-313884

Dear (b) (6), (b) (7)(C):

Enclosed is a copy of the first amended charge that has been filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney TRACY CLARK whose telephone number is (628)221-8841. If the agent is not available, you may contact Supervisory Attorney JENNIFER BENESIS whose telephone number is (628)221-8846.

<u>Presentation of Your Evidence</u>: As you know, we seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations in the first amended charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Procedures:</u> Pursuant to Section 102.5 of the Board's Rules and Regulations, parties must submit all documentary evidence, including statements of position, exhibits, sworn statements, and/or other evidence, by electronically submitting (E-Filing) them through the Agency's web site (www.nlrb.gov). You must e-file all documents electronically or provide a

Sonoma Mission Inn Management Company, LLC d/b/a Fairmont Sonoma Mission Inn Case 20-CA-313884

written statement explaining why electronic submission is not possible or feasible. Failure to comply with Section 102.5 will result in rejection of your submission. The Region will make its determination on the merits solely based on the evidence properly submitted. All evidence submitted electronically should be in the form in which it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format). If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge.

If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

JILL H. COFFMAN Regional Director

Die H. Coffman

Enclosure: Copy of first amended charge

cc: Hayden R. Pace, Attorney Stokes Wagner LLC One Harbor Drive Suite 1-211 Sausalito CA 94965

### **UNITED STATES OF AMERICA**

### **BEFORE THE NATIONAL LABOR RELATIONS BOARD**

SONOMA MISSION INN MANAGEMENT
COMPANY, LLC D/B/A FAIRMONT SONOMA
MISSION INN

**Charged Party** 

and

**UNITE HERE! LOCAL 2** 

**Charging Party** 

Case 20-CA-313884

### AFFIDAVIT OF SERVICE OF FIRST AMENDED CHARGE AGAINST EMPLOYER

I, the undersigned employee of the National Labor Relations Board, being duly sworn, say that on April 24, 2023, I served the above-entitled document(s) by regular mail upon the following persons, addressed to them at the following addresses:

Sonoma Mission Inn Management Company 100 Boyes Blvd Sonoma CA 95476

Hayden R. Pace, Attorney Stokes Wagner LLC One Harbor Drive Suite 1-211 Sausalito CA 94965

April 24, 2023	Caroline Barker, Designated Agent of NLRB
Date	Name
	/s/ Caroline Barker
	Signature



## UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

Agency Website: www.nlrb.gov Telephone: (415)356-5130 Fax: (415)356-5156



April 24, 2023

UNITE HERE! Local 2 209 Golden Gate Avenue San Francisco CA 94102

**REGION 20** 

450 Golden Gate Ave.

3rd Floor, Suite 3112

San Francisco, CA 94102

Re: Sonoma Mission Inn Management

Company, LLC d/b/a Fairmont Sonoma

Mission Inn

Case 20-CA-313884

Dear Sir or Madam:

We have docketed the first amended charge that you filed in this case.

<u>Investigator</u>: This charge is being investigated by Field Attorney TRACY CLARK whose telephone number is (628)221-8841. If the agent is not available, you may contact Supervisory Attorney JENNIFER BENESIS whose telephone number is (628)221-8846.

<u>Presentation of Your Evidence</u>: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. If you have additional evidence regarding the allegations in the first amended charge and you have not yet scheduled a date and time for the Board agent to obtain that evidence, please contact the Board agent to arrange to present that evidence. If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

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Sonoma Mission Inn Management Company, LLC d/b/a Fairmont Sonoma Mission Inn Case 20-CA-313884

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If the Agency does not issue a formal complaint in this matter, parties will be notified of the Regional Director's decision by email. Please ensure that the agent handling your case has your current email address.

Very truly yours,

JILL H. COFFMAN Regional Director

Du H. Coffman

cc: Ivy Yan, Associate
McCracken Stemerman & Holsberry LLP
475 14th Street
Suite 1200
Oakland CA 94612-1929